

# Ch8: Recurrences

305234

Algorithm Analysis and Design

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# What is Recurrences?

- A recurrence is an equation or inequality that describes a function in terms of its value on smaller inputs.
- Example:

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1 \\ 2T(n/2) + \Theta(n) & \text{if } n > 1 \end{cases}$$

We can write  $T(n)$  in terms of  $\Theta(n \lg n)$

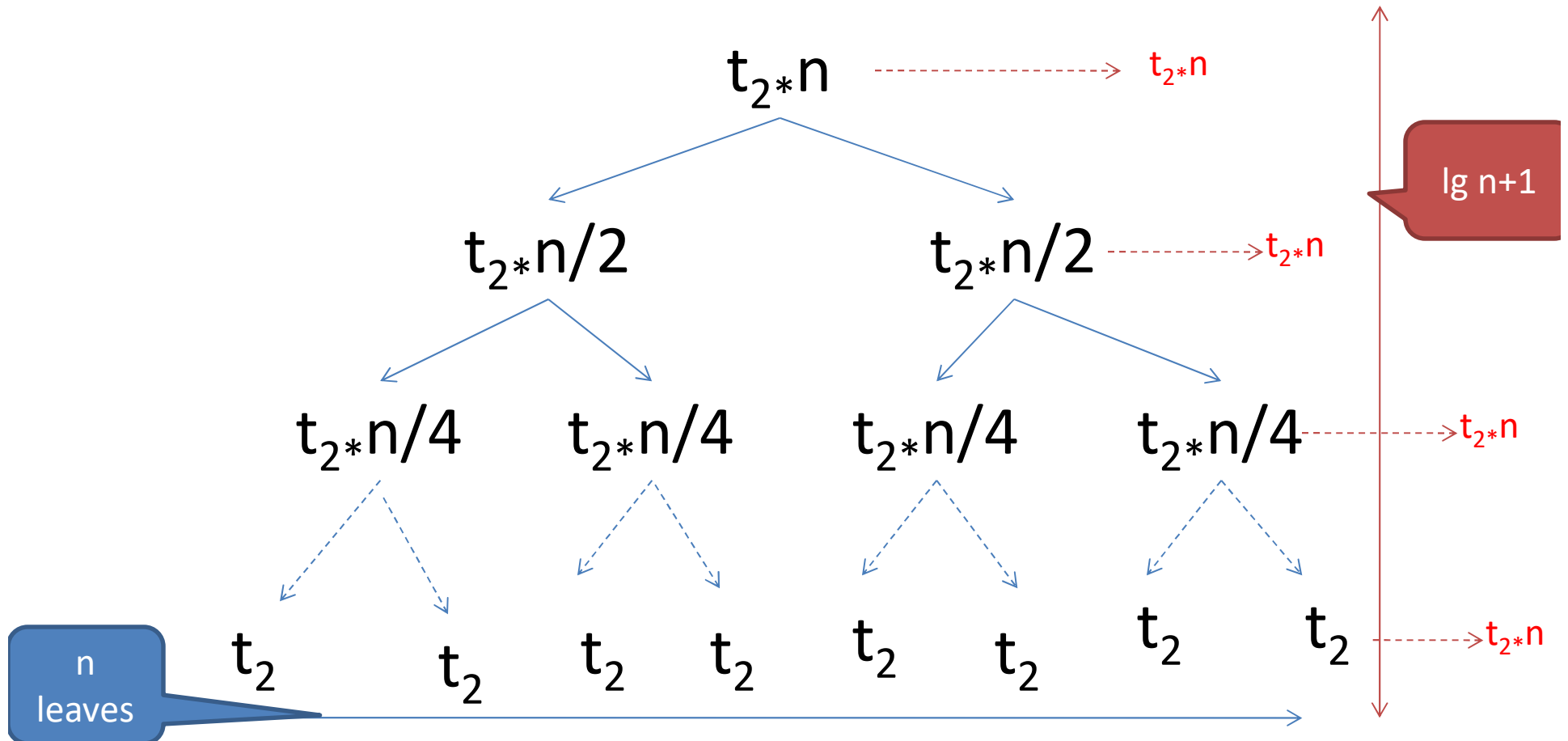
# Methods for solving Recurrences

- **Substitution method**
  - Guess the form of the solution and use mathematical induction to find constants to prove the solution.
- **Recursion-tree method**
  - Each node represents the cost of a single subproblem. We sum the costs within each level of the tree to obtain a set of per-level costs, and sum all the per-level costs to determine the total cost.
- **Master method**
  - Provides a “cookbook” method for solving recurrences of the form  $T(n) = aT(n/b) + f(n)$ , where  $a \geq 1$  and  $b > 1$  are constants and  $f(n)$  is an asymptotically positive function.

# Example: Substitution of Merge-Sort

- Let the recurrence  $T(n) = 2T(\lfloor n/2 \rfloor) + n$
- We guess that the solution is  $T(n) = O(n \lg n)$
- Must prove that  $T(n) \leq cn \lg n$  for choosing a constant  $c > 0$ .
- Start by assuming that this bound,  $cn \lg n$ , holds for  $\lfloor n/2 \rfloor$
- Then substitute into the recurrence yields:
$$\begin{aligned}T(n) &\leq 2(c\lfloor n/2 \rfloor \lg(\lfloor n/2 \rfloor)) + n \\ &\leq cn \lg(n/2) + n \\ &= cn \lg n - cn \lg 2 + n \\ &= cn \lg n - cn + n \\ &\leq cn \lg n\end{aligned}$$
- Where the last step holds as long as  $c \geq 1$ .

# Example: Recursion Tree of Merge-Sort



มีทั้งหมด  $\lg n + 1$  level และแต่ละ level มี cost =  $t_2 * n$  ดังนั้น จะได้  
 ว่า total cost =  $t_2 * n \lg n + t_2 * n$  ซึ่งคือ  $\Theta(n \lg n)$

# The Master Method

- Provides a “cookbook” method for solving recurrences of the form

$$T(n) = aT(n/b) + f(n)$$

where  $a \geq 1$  and  $b > 1$  are constants and  $f(n)$  is an asymptotically positive function.

- This recurrence form describes the running time of an algorithm that divides a problem of size  $n$  into  $a$  subproblems, each of size  $n/b$ .
- The master method are used in 3 cases:  
 $f(n) < n^{\log_b a}$  ,  $f(n) = n^{\log_b a}$  , and  $f(n) > n^{\log_b a}$

# Master Theorem

- Let  $a \geq 1$  and  $b > 1$  be constants, let  $f(n)$  be a function, and let  $T(n)$  be defined on the nonnegative integers by the recurrence

$$T(n) = aT(n/b) + f(n)$$

where we interpret  $n/b$  to mean either  $\lfloor n/b \rfloor$  or  $\lceil n/b \rceil$ .  
Then  $T(n)$  can be bounded asymptotically as follows.

- If  $f(n) = O(n^{\log_b a - \varepsilon})$  for some constant  $\varepsilon > 0$   
then  $T(n) = \Theta(n^{\log_b a})$
- If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \lg n)$
- If  $f(n) = \Omega(n^{\log_b a + \varepsilon})$  for some constant  $\varepsilon > 0$  and if  $af(n/b) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$

# Example: Master method (case1)

- Let  $T(n) = 9T(n/3) + n$
- Determine which case of the master theorem applies:
- We have  $a=9, b=3, f(n)=n$
- Thus we have  $n^{\log_b a} = n^{\log_3 9} = \Theta(n^2)$
- Since  $f(n) = O(n^{\log_3 9 - \epsilon})$ , where  $\epsilon = 1$ , we can apply case 1 of the master theorem and conclude that the solution is

$$T(n) = \Theta(n^{\log_b a}) = \Theta(n^2)$$

ข้อสังเกต  $n < n^2$  ,  
case 1

เหมือนกับโซวี่ให้เห็นว่า  
 $f(n)=n$  อยู่ใน  
 $O(n^{2-e})$  เมื่อสมมติให้  
 $e$  คือค่าคงที่



# Example: Master method (case 2)

- Let  $T(n) = T(2n/3) + 1$
- Determine which case of the master theorem applies:
- We have  $a=1, b=3/2, f(n)= 1$
- Thus we have  $n^{\log_b a} = n^{\log_{3/2} 1} = n^0 = 1$
- Since  $f(n) = \Theta(n^0) = \Theta(1)$  we can apply case 2 of the master theorem and conclude that the solution is  $T(n) = \Theta(n^{\log_b a} \lg n) = \Theta(\lg n)$

ข้อสังเกต  $1 = n^0$ , case 2

# Example: Master method (case 3)

- Let  $T(n) = 3T(n/4) + n \lg n$
- Determine which case of the master theorem applies:
- We have  $a=3, b=4, f(n)= n \lg n$
- Thus we have  $n^{\log_b a} = n^{\log_4 3}$
- Since  $f(n) = \Omega(n^{\log_4 3 + \varepsilon})$  where  $\varepsilon \approx 0.2$  we can apply case 3 of the master theorem.
- We show that:  $3(n/4)\lg(n/4) \leq (3/4)n \lg n$ , for  $c=3/4$
- Following case 3, we conclude that the solution is

ข้อสังเกต  $n \lg n > n^{0.793}$ , case 3

$$T(n) = \Theta(f(n)) = \Theta(n \lg n)$$

# Practice: Master method

- Let  $T(n) = 4T(n/3) + 5n$

- Let  $T(n) = 3T(n/3) + 5n$

- Let  $T(n) = 2T(n/3) + 5n$

# Practice: Master method

- Let  $T(n) = 4T(n/3) + 5n$
- We have  $a=4, b=3, f(n)=5n$   
$$n^{\log_b a} = n^{\log_3 4} = n^{1.26}$$
- We guess it might be case 3:
- Since  $f(n) \notin \Omega(n^{\log_3 4 + \varepsilon})$ , where  $\varepsilon = 0.2$ .
- Hence we check for case 1:
- Since  $f(n) = O(n^{\log_3 4 - \varepsilon})$ , where  $\varepsilon = 1$ , ( $n^{\log_3 4 - 1} = n$ ) . we can apply case 1 of the master theorem and conclude that the solution is

$$T(n) = \Theta(n^{\log_b a}) = \Theta(n^{\log_3 4})$$

พิสูจน์ big omega,  
สมการคือ  
 $0 \leq cn^{1.26} \leq 5n$   
เลือก  $c < 5$  แต่ว่า พอ  $n$  มากขึ้น ก็จะทำให้สมการไม่เป็นจริง ดังนั้น พิสูจน์ว่าไม่จริง  
เพราะหาค่า  $n$  น้อยสุดไม่ได้

พิสูจน์ big oh,  
สมการคือ  
 $0 \leq 5n \leq cn$   
เลือก  $n \geq 1, c > 5$  ก็จะทำให้สมการเป็นจริง

# Practice: Master method

- Let  $T(n) = 3T(n/3) + 5n$

- We have  $a=3, b=3, f(n)=5n$

$$n^{\log_b a} = n^{\log_3 3} = n^1$$

- We guess it might be case 2:

- Since  $f(n) = \Theta(n^{\log_3 3})$ , ( $n^{\log_3 3} = n$ ), hence we can apply case 2 of the master theorem and conclude that the solution is

$$T(n) = \Theta(n^{\log_b a} \lg n) = \Theta(n \lg n)$$

# Practice: Master method

- Let  $T(n) = 2T(n/3) + 5n$

- We have  $a=2, b=3, f(n)=5n$

$$n^{\log_b a} = n^{\log_3 2} = n^{0.63}$$

- We guess it might be case 3:

- Since  $f(n) = \Omega(n^{\log_3 2 + \varepsilon})$ , where  $\varepsilon = 1, (n^{\log_3 3} = n)$ , and  $2(5n/3) \leq (2/3)5n$ , for  $c=2/3$

- hence we can apply case 3 of the master theorem and conclude that the solution is

$$T(n) = \Theta(f(n)) = \Theta(5n)$$